



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James K. Guenter et al.

Examiner: Hung T. Vy

Serial No.: 09/577,034

Group Art Unit 2828

Filed: May 23, 2000

For: SYSTEM AND METHOD FOR VCSEL POLARIZATION CONTROL

Docket No.: 1139.1110101 (M40 26373 US)

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TRANSMITTAL SHEET

Box Amendment

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921288318US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 22nd day of August, 2002.

By

Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

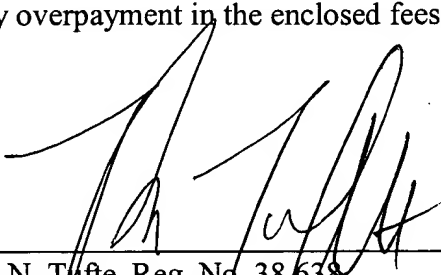
☒ [X] Amendment

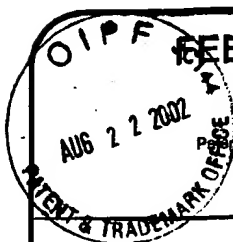
☒ [X] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	22-	20=	2	x9=	\$	x18=	\$ 36
INDEPENDENT CLAIMS	5-	3=	2	x42=	\$	x84=	\$ 168
() FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$		\$204.00	

- [X] Letter to Official Draftsman with 1 redlined drawing and 1 substitute formal drawing for approval by Examiner.
- [X] Fee Transmittal Sheet authorizing payment of \$204.00 to Deposit Account No. 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 01-1125.

By: _____


Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
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Telephone: (612) 677-9050
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FREE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$204.00

COMPLETE IF KNOWN

Application No.	09/577,034.
Filing Date	05-23-00
First Named Inventor	James K. Gunter
Group Art Unit	2828.
Examiner Name	Vy, Hung T.
Attorney Docket No.	M40 26373 US

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\$2828

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee \$	Code	Fee \$		
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
SUBTOTAL (1)					\$

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22 - 20 = 2	2	18.00	36.00
4 - 3 = 1	1	81.00	162.00
Multiple Dependent Claims: 0	0	280.00	0

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee \$	Code	Fee \$		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$204.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee \$	Code	Fee \$		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,250	For filing a request for <i>ex parte</i> reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
118	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,980	228	990	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,150	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or release)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	3370	Request for Continued Examination (RCE)	
189	900	189	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$

SUBMITTED BY

Name (Print / Type) Andrew A. Abeyta

Registration No. 39,582
(Attorney/Agent)

Signature

Complete (if applicable)

Telephone 602-313-3345

Date 8-22-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



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LETTER TO OFFICIAL DRAFTSMAN

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By

Lynn Thompson
Lynn Thompson

Dear Sir:

Submitted herewith is one (1) redlined drawing and (1) substitute formal drawing for the approval of the Examiner.

Respectfully submitted,

James K. Guenter et al.

By their attorney,

Date:

August 22, 2002

Brian N. Tufte
Brian N. Tufte, Reg. No. 38,636
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